

Tradition SEF, Inc.

Participant Notice 15-25 - Tradition SEF Fee Schedule August 14, 2015

To : Tradition SEF Authorized Representatives **From** : Tradition SEF Operations and Compliance

Tradition SEF, Inc. ("<u>Tradition SEF</u>") has submitted for the Commodity Futures Trading Commission's (the "<u>CFTC</u>" or "<u>Commission</u>") review, amendments to its fee schedule to incorporate a new category of fees for Authorized Agents of Broker Firm Participants (the "<u>Fee Schedule</u>") pursuant to Section 5c(c) of the Commodity Exchange Act, as amended, and CFTC Regulation 40.6. The effective date of the fees is retroactive to August 1, 2015. A request for confidential treatment of the Fee Schedule has also been submitted to the Commission. <u>Please note that this Fee Schedule is considered confidential and is intended only for Tradition SEF participants and customers and is not to be disseminated outside of a participant's or customer's organization.</u>

Tradition SEF has posted a notice of the pending certification with the Commission and a copy of CFTC Submission No. 2015-12 on its website (*see*, http://www.traditionsef.com/news/), concurrent with the filing of this submission with the Commission.

For SEF compliance or administrative questions, please contact:

Eric Earnhardt, CCO: (212) 791 3497 or eric.earnhardt@tradition-na.com **Jeff Mehan, CAO:** (212) 943 4567 or jeff.mehan@tradition.com

For Legal matters, please contact:

Scot Halvorsen, Sr. Regulatory Counsel: (212) 377 2337 or scot.halvorsen@tradition.com