

## Tradition SEF, Inc.

## Notice to Participants 15-27

September 22, 2015

**To** : Tradition SEF Authorized Representatives **From** : Tradition SEF Operations and Compliance

AMENDMENTS TO TRADITION SEF RULES 101, 207, 208, 211, 214, 412, 503, 509, 512, 532 and 708. CFTC SUBMISSION NO. 2015-13

Authorized Representatives:

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "<u>Act</u>"), and Commodity Futures Trading Commission ("<u>CFTC</u>" or the "<u>Commission</u>") Regulation 40.6, Tradition SEF, Inc. ("<u>Tradition SEF</u>") has filed CFTC Submission No. 2015-13 with the Commission to amend Tradition SEF Rule numbers 101, 207, 208, 211, 214, 412, 503, 509, 512, 532 and 708, each effective as of October 6, 2015.

Tradition SEF has posted a copy of CFTC Submission No. 2015-13, a notice of pending certification with the Commission, and clean and redline versions of the Tradition SEF Rulebook to its website at <a href="http://www.traditionsef.com/news/">http://www.traditionsef.com/news/</a>, concurrent with the filing of this submission with the Commission.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission's regulations promulgated thereunder.

For SEF administrative or compliance questions please contact:

Eric Earnhardt, CCO: (212) 791 3497 or <u>eric.earnhardt@tradition-na.com</u> Jeff Mehan, CAO: (212) 943 4567 or jeff.mehan@tradition.com

For legal matters, please contact:

Scot Halvorsen, Sr. Regulatory Counsel: (212) 377 2337 or scot.halvorsen@tradition.com