

Tradition SEF, Inc.

Participant Notice 18-13 Notice of Product Certifications to Tradition SEF's Equity and Credit Asset Classes CFTC Submission Nos. 2018-09, and 2018-10

September 18, 2018

To: Tradition SEF Authorized Representatives **From:** Tradition SEF Legal and Compliance

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "Act"), and Commodity Futures Trading Commission ("CFTC" or the "Commission") Regulation 40.2(a), Tradition SEF, Inc. ("Tradition SEF") has filed CFTC Submission No. 2018-09 certifying certain MSCI® Indices, and CFTC Submission No. 2018-10 certifying the Ibovespa Brasil Sao Paulo Stock Exchange Index (IBOV). The effective date of the listing for all of the products is Thursday, September 20, 2018.

Tradition SEF has posted a copy of CFTC Submission Nos. 2018-09 and 2018-10, a notice of pending certification with the Commission, and clean and redline versions of the Equity and Credit Product Listings to its website at http://www.traditionsef.com/regulatory/, concurrent with the filing of the submissions with the Commission.

Tradition SEF, through its submissions to the CFTC, certifies that the products comply with the Act and the Commission's regulations promulgated thereunder.

For legal matters, please contact:

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