



Tradition SEF, LLC

Participant Notice 23-02

Tradition SEF Participant Authorized List

January 12, 2023

To: Tradition SEF Authorized Representatives

From: Tradition SEF Legal, Operations and Compliance

Authorized Representatives,

Starting in February 2023, Tradition SEF Participants will start to receive a report listing their currently designated Tradition SEF Authorized Representatives and Authorized Traders. The report will be in an XLS format and will be sent to Participants' SEF Authorized Representatives on a quarterly basis. Requests for changes of individuals designated as Authorized Representatives or Authorized Traders, or to change the frequency of the report must be made by an Authorized Representative and may be sent via email to TradSEFOB@tradition.com.

As a reminder, Participants of Tradition SEF are subject to certain ongoing obligations pursuant to CFTC rules and the Tradition SEF Rulebook, including **Tradition SEF Rule 309 and 308**: Participants must name Authorized Representatives who will represent the Participant before Tradition SEF and its committees, communicate on its behalf with the SEF and receive notices on behalf of the Participant. This list must be kept current and updated accordingly with Tradition SEF.

Please contact us at the contact information below with any questions.

For Tradition SEF compliance or administrative questions please contact:

Eric Earnhardt, CCO: 212-791-3497 or Eric.Earnhardt@tradition.com

Jeff Mehan, CAO: 212-943-4567 or Jeff.Mehan@tradition.com

For Tradition SEF legal matters, please contact:

Bennett Kirschner, General Counsel: 212-377-2337 or Bennett.Kirschner@tradition.com