



Tradition SEF, LLC

Participant Notice 23-08

Re: SOFR and SONIA swaps subject to the trade execution requirement on August 7, 2023.

July 24, 2023

To : Tradition SEF Authorized Representatives
From : Tradition SEF Legal, Compliance, and Operations

Authorized Representatives,

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6(a) Tradition SEF, LLC. (“Tradition SEF”) has filed CFTC Submission 2023-04 self-certification of a rule change requiring certain SOFR and SONIA swaps to be subject to the trade execution requirement. The effective date for this rule change is August 7, 2023.

Tradition SEF has posted a copy of CFTC Submission 2023-04 and redline and clean versions of Appendix A to Tradition SEF Rulebook Interest Rate Swap Product Listing to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of this submission with the Commission.

Please contact us at the contact information below with any questions.

For SEF compliance or administrative questions please contact:

Eric Earnhardt, CCO: (212) 791-3497 or eric.earnhardt@tradition.com

Jeff Mehan, CAO: (212) 943-4567 or jeff.mehan@tradition.com

For legal matters, please contact:

Bennett Kirschner, General Counsel: (212) 377-2337 or bennett.kirschner@tradition.com