



## Tradition SEF, LLC

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### Participant Notice 21-05 – Tradition SEF, LLC Adoption of Tradition SEF, Inc. Rulebook

March 31, 2021

**To** : Tradition SEF Authorized Representatives  
**From** : Tradition SEF Operations and Compliance

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As previously reflected in Participant Notice 21-04, Tradition SEF Inc. has converted from a Delaware corporation to a Delaware limited liability company effective April 1, 2021, and from that date forward will be conducting business as Tradition SEF, LLC.

In accordance with this conversion, Tradition SEF, LLC hereby provides notice of its adoption of the Tradition SEF, Inc. Rulebook effective April 1, 2021. The revised Rulebook contains nonsubstantive changes to the Rules that reflect the revised name of the legal entity. Furthermore, none of these changes will affect the rights and obligations of any Participant.

Clean and redlined versions of the revised Rulebook are attached and a copy of this Notice will be filed with the CFTC.

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For USD IRS and CDX INDEX questions please contact Trading Operations: (212) 238-5930 or [trading.operations.us@tradition.com](mailto:trading.operations.us@tradition.com).

For SEF compliance or administrative questions, please contact:

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