

Tradition SEF, Inc.

Participant Notice 13-04 – Tradition SEF Inc. 2013 Holiday Schedule

November 25, 2013

To: Tradition SEF Authorized Representatives From: Tradition SEF Operations and Compliance Re: Tradition SEF 2013 Holiday Schedule

Tradition SEF will observe the following holidays, by asset class, for the balance of 2013:

Thursday, November 28, 2013 - Thanksgiving Day – Market is Closed - All Asset Classes

Friday November 29, 2013 – Early Closings: IRS: 2:00 PM EST Early Market Close Energy/Commodities: 2:45 PM EST Early Market Close Precious Metals: Closed Equities: 1:15 PM EST Early Market Close Foreign Exchange: 1:30 PM EST Early Market Close Credit: 2:00 PM EST Early Market Close

Tuesday, December 24, 2013 - Early Closings: IRS: 2:00 PM EST Early Market Close Energy/Commodities: 2:45 PM EST Early Market Close Precious Metals: 1:30 PM EST Early Market Close Equities: 1:15 PM EST Early Market Close Foreign Exchange: 1:30 PM EST Early Market Close Credit: 2:00 PM EST Early Market Close

Wednesday, December 25, 2013 – Christmas Day – Market is Closed – All Asset Classes

Wednesday, January 1, 2014 - New Year's Day - Market is Closed - All Asset Classes

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