

Tradition SEF, Inc.

Participant Notice 15-03 – Amendments to the Tradition SEF Volbroker Currency Options Platform Supplement.

January 16, 2015

To : Tradition SEF Authorized Representatives **From** : Tradition SEF Operations and Compliance

Authorized Representatives,

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "<u>Act</u>"), and Commodity Futures Trading Commission ("<u>CFTC</u>" or the "<u>Commission</u>") Regulation 40.6, Tradition SEF, Inc. ("<u>Tradition SEF</u>") has filed a Notification with the Commission that it is making a version of its Volbroker Currency Options Trading Platform Supplement (the "<u>Platform Supplement</u>") publicly available, and that the Platform Supplement has been amended, effective February 2, 2015.

Tradition SEF has amended its Platform Supplement to reflect the addition of Customer access to the Platform, in addition to other non-material changes. Additionally, pursuant to Commission Regulation 40.8, Tradition SEF is making a version of the Platform Supplement publicly available. Tradition SEF has simultaneously submitted a request for confidential treatment to the Commission for certain provisions of the Platform Supplement that are identified as "Redacted – Confidential Treatment Requested". Please note that the nonpublic version of the Platform Supplement that is provided to you is considered confidential and is only for use by Tradition SEF participants and is not to be disseminated outside of participant's organization.

Tradition SEF, through submission to the CFTC, certifies that the rule amendments comply with the Commodity Exchange Act and the Commission's regulations thereunder.

Tradition SEF has posted a notice of pending certification with the Commission, a copy of this submission, and the public version of the Platform Supplement on its website, http://www.traditionsef.com/news/), concurrent with the filing of this submission with the Commission.

Attached for your reference are:

- CFTC Regulation 40.6(a) Certification. Notification of Amendments to Tradition SEF, Inc. Rulebook.
- Tradition SEF Submission Cover Letter 2015-01 Volbroker Platform Supplement Public Submission.
- Redline version of the Volbroker Platform Supplement.
- Clean Participant (nonpublic) version of the Volbroker Platform Supplement.

Please contact Tradition SEF at the numbers below if you have any questions.



Tradition SEF, Inc.

For SEF administrative or compliance questions please contact:

Eric Earnhardt, CCO: (212) 791 3497 or eric.earnhardt@tradition-na.com.

Jeff Mehan, CAO: (212) 943 4567 or jeff.mehan@tradition.com.

For Tradition SEF legal matters please contact:

Scot J. Halvorsen, Senior Regulatory Counsel: (212) 377 2337 or scot.halvorsen@tradition.com