



Tradition SEF, Inc.

Participant Notice 13-03 – Notice of Pending Certification - Amendments to Tradition SEF Inc. Rulebook

November 19, 2013

The Tradition SEF, Inc. rulebook has been updated throughout as of November 19, 2013 (to be effective on December 5, 2013) to reflect conforming changes to various defined terms and other changes that help to clarify the rules. These changes have been submitted to the CFTC under Regulation 40.6, and are pending certification with an effective date of December 5, 2013.

The text of the amended rulebook and a marked version showing the amendments to the rulebook are attached hereto. All defined terms used herein have the meaning ascribed to such term in the Tradition SEF rulebook, which is also available at:

<http://www.traditionsef.com/news/>

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