## SUBMISSION COVER SHEET **Registered Entity Identifier Code (optional)** Date: IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED. **ORGANIZATION SEF DCO SDR** FILING AS A: **DCM ECM/SPDC TYPE OF FILING Rules and Rule Amendments** Certification under § 40.6 (a) or § 41.24 (a) "Non-Material Agricultural Rule Change" under § 40.4 (b)(5) Notification under § 40.6 (d) Request for Approval under § 40.4 (a) or § 40.5 (a) Made Available To Trade Determination under § 40.5 or § 40.6 Advance Notice of SIDCO Rule Change under § 40.10 (a) **Products** Certification under § 40.2 (a) or § 41.23 (a) Submission under § 39.5 Swap Class Certification under § 40.2 (d) Request for Approval under § 40.3 (a) Novel Derivative Product Notification under § 40.12 (a) **RULE NUMBERS DESCRIPTION**