SUBMISSION COVER SHEET *IMPORTANT*: Check box if Confidential Treatment is requested Registered Entity Identifier Code (optional): Organization: Filing as a: DCM SEF DCO SDR Please note - only ONE choice allowed. Filing Date (mm/dd/yy): _____ Filing Description: _____ SPECIFY FILING TYPE Please note only ONE choice allowed per Submission. **Organization Rules and Rule Amendments** Certification § 40.6(a) § 40.5(a) Approval Notification § 40.6(d) Advance Notice of SIDCO Rule Change § 40.10(a) SIDCO Emergency Rule Change § 40.10(h) Rule Numbers: **New Product** Please note only ONE product per Submission. Certification § 40.2(a) **Certification Security Futures** § 41.23(a) Certification Swap Class § 40.2(d) Approval § 40.3(a) **Approval Security Futures** § 41.23(b) Novel Derivative Product Notification § 40.12(a) § 39.5 Swap Submission Official Product Name: **Product Terms and Conditions (product related Rules and Rule Amendments)** Certification § 40.6(a) Certification Made Available to Trade Determination § 40.6(a) **Certification Security Futures** § 41.24(a) Delisting (No Open Interest) § 40.6(a) Approval § 40.5(a) Approval Made Available to Trade Determination § 40.5(a) **Approval Security Futures** § 41.24(b) Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)

§ 40.4(b)(5)

§ 40.6(d)

"Non-Material Agricultural Rule Change"

Official Name(s) of Product(s) Affected:

Notification

Rule Numbers: