



TRADITION SEF, Inc.

Scot J. Halvorsen  
Senior Regulatory Counsel and Secretary  
Legal Department

June 9, 2015

**VIA E-MAIL (submissions@cftc.gov)**

Mr. Christopher Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**RE: CFTC Regulation 40.6(a) Rule Certification. Notification of Amendments to Tradition SEF, Inc.'s Trad-X USD CME/LCH CCP Auctions  
Tradition SEF Submission No. 2015-07**

Dear Mr. Kirkpatrick:

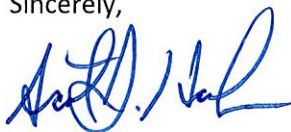
Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "Act"), and Commodity Futures Trading Commission ("CFTC" or the "Commission") Regulation 40.6(a), Tradition SEF, Inc. ("Tradition SEF") hereby notifies the Commission of amendments to Tradition SEF's Trad-X USD CME/LCH Auctions (the "Auctions") pursuant to Tradition SEF Participant Notice No. 15-18 (the "Participant Notice"), to be effective and implemented by Tradition SEF as of June 24, 2015, which is the first business day after the Commission's ten (10) business day review period. Attached as Exhibit A is a redlined version of the Participant Notice reflecting the amendments to the Auctions previously described on Participant Notice 14-26.

Tradition SEF continues to comply with the SEF core principles ("Core Principles") as set forth in Section 5h of the Act and Part 37 of the Commission's regulations promulgated thereunder. In particular, Tradition SEF has determined that it is in compliance with Core Principle 1 (Compliance with Core Principles), Core Principle 2 (Compliance with Rules) and Core Principle 4 (Monitoring of Trading and Trade Processing).

Tradition SEF hereby certifies that the Auctions comply with the Act and the Commission's regulations promulgated thereunder. No substantive opposing views were expressed that were not incorporated into the Auctions. Tradition SEF hereby certifies that it has posted a notice of pending certification with the Commission and a copy of the submission on its website (see, <http://www.traditionsef.com/news/>), concurrent with the filing of this submission with the Commission.

Should you require additional information related to this submission, please contact the undersigned at (212) 377-2337 or [scot.halvorsen@tradition.com](mailto:scot.halvorsen@tradition.com). Please reference Tradition SEF Submission No. 2015-07 in any related correspondence.

Sincerely,



Scot J. Halvorsen  
Senior Regulatory Counsel and Secretary

Attachments: Exhibit A – Tradition SEF Participant Notice No. 15-18 (redline format)

**EXHIBIT A**  
**Redlined Participant Notice No. 15-18**

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