

**Tradition SEF, LLC**

**Participant Broker Firm Authorization**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (Participant) represents that the Broker Firms listed below are authorized to enter and execute orders and conduct business on Tradition SEF, LLC on behalf of the Participant:

|  |  |  |
| --- | --- | --- |
| **Broker Firm Participant** | **LEI** | **Y/N** |
| TFS Currencies Pte Ltd | 549300VX0P4NG30WZU37 |  |
| TFS Derivatives Ltd | 549300WXUYR1CMLDQF25 |  |
| TFS Energy Futures, LLC | I46NN8QZPO237ZK3JS83 |  |
| TFS Espana Sociedad de Valores SAU | 5493006UOQCUGJ33L287 |  |
| TFS-ICAP, LLC | 549300E9RR7X18FR6M91 |  |
| TFS-ICAP, Ltd | 549300ETKP1MFYX3RQ19 |  |
| Tradition (Asia) Ltd | 549300ER66BIX4KZD662 |  |
| Tradition (Dubai) Ltd | 5493003UX5NBQP441N14 |  |
| Tradition Chile S.A | 549300533DDO4575XK19 |  |
| Tradition Colombia S.A | 549300P7DJTUNYW8BU22 |  |
| Tradition Services SA de CV | 549300C7QOLT1ACORS89 |  |
| Tradition Securities & Derivatives LLC | WRYEFMSVQCH0EMD08461 |  |
| Tradition Securities and Futures SA | 969500ULC0Y1IG0A4O72 |  |
| Tradition Singapore Pte. Ltd | 5493000ON08MFYK4XP20 |  |
| Tradition (UK) Limited | 5493009GUESWKOCRBE73 |  |
| TSAF OTC | 969500V058ZSY03FNX80 |  |
| UEDA Tradition Securities, Ltd | 353800GH95A67C8SIV59 |  |

Authorized Representative Signature:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Please return signed document to Tradition SEF at [TradSefOB@tradition.com](mailto:TradSefOB@tradition.com) or to:

Tradition SEF

32 Old Slip

28th floor

New York, NY 10005

**(If you have any questions please contact Tradition SEF Onboarding on** [TradSefOB@tradition.com](mailto:TradSefOB@tradition.com)**).**