The participant located in Ontario (the “**Participant**”) receiving access to the swap execution facility of Tradition SEF, LLC (“**Tradition**”):

(a) represents to Tradition that it is either (i) appropriately registered under Ontario securities laws, (ii) exempt from the requirement to be registered under Ontario securities laws, or (iii) not subject to the requirement to be registered under Ontario securities laws;

(b) represents to Tradition that it qualifies as an “eligible contract participant” under the *United States Commodity Exchange Act*, as may be amended from time to time; and

(c) undertakes that it shall notify Tradition immediately in writing if it, as applicable, (i) has its registration under Ontario securities laws revoked, suspended, or amended by the Ontario Securities Commission, (ii) is no longer exempt from the requirement to be registered under Ontario securities laws, or (iii) becomes subject to registration requirements under Ontario securities laws.

By Authorized Representative:

Signature:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Please return signed document to** **tradsefob@tradition.com****.**