



SEF Rules

UNITED STATES COMMODITY FUTURES TRADING COMMISSION

Submitter Information	
Organization Name Tradition SEF, LLC	
Organization Type SEF	Organization Acronym TRAD
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Cover Sheet	
Submission Number 2307-2415-1304-76	Submission Date 7/24/2023 3:13:04 PM ET
Submission Type 40.6(a) Rule Certification	
Submission Description CFTC Submission 2023-04 self-certification contains a rule change requiring certain SOFR and SONIA swaps to be subject to the trade execution requirement. The effective date for this rule change is August 7, 2023. Appendix A is being amended.	
<input type="checkbox"/> Request Confidential Treatment	
Registered Entity Identifier Code 2023-04	
Rule Numbers 40.6(a)	
Date of Intended Implementation 8/7/2023	
Documents	
CFTC Rule Sub 2023-04 40.6(a) Cert SOFR SONIA MAT 7-24-2023.pdf Appendix A to Trad SEF RB IRS Prod List A v8 Final 7-24-2023.pdf Redline of App A to Trad SEF RB- IRS Prod List v7 and v8.pdf	
Request For Confidential Treatment - Detailed Written Justification	
N/A	