



Tradition SEF, Inc.

Notice to Participants 16-01

January 11, 2016

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

AMENDMENTS TO TRADITION SEF RULEBOOK CFTC SUBMISSION NO. 2016-01

Authorized Representatives:

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6, Tradition SEF, Inc. (“Tradition SEF”) has filed CFTC Submission No. 2016-01 with the Commission to amend Tradition SEF Rulebook effective as of January 26, 2016.

Tradition SEF has posted a copy of CFTC Submission No. 2016-01, a notice of pending certification with the Commission, and clean and redline versions of the Tradition SEF Rulebook to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of this submission with the Commission.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission’s regulations promulgated thereunder.

For SEF administrative or compliance questions please contact:

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For legal matters, please contact:

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