



Tradition SEF, Inc.

Notice to Participants 16-02

January 12, 2016

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

AMENDMENTS TO TRADITION SEF PLATFORM SUPPLEMENTS CFTC SUBMISSION NO. 2016-02

Authorized Representatives:

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6, Tradition SEF, Inc. (“Tradition SEF”) has filed CFTC Submission No. 2016-02 with the Commission to amend the (i) Tradition SEF Trad-X Interest Rate Swap Trading Platform Supplement, (ii) Tradition SEF Volbroker Currency Options Trading Platform Supplement, and (iii) StreamGlobal Credit Default Swap Trading Platform Supplement (collectively, the “Platform Supplements”), to be effective and implemented by Tradition SEF as of January 28, 2016.

Tradition SEF has posted a copy of CFTC Submission No. 2016-02, a notice of pending certification with the Commission, and clean and redline versions of the Platform Supplements to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of this submission with the Commission.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission’s regulations promulgated thereunder.

For SEF compliance and administrative questions please contact:

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For legal matters, please contact:

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