



Tradition SEF, Inc.

Participant Notice 16-15
CFTC Submission No. 2016-05
Tradition SEF - USD Interest Rate Products Minimum Order Size

August 19, 2016

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

Authorized Representatives,

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6(a), Tradition SEF, Inc. (“Tradition SEF”) has filed CFTC Submission No. 2016-05 with the Commission to amend the Tradition SEF Trad-X Interest Rate Swap (IRS) trading platform supplement (the “Platform Supplement”), effective as of August 15, 2016.

Tradition SEF has amended Appendix 3 (USD Interest Rate Products Minimum Order Size) of the Platform Supplement to revise the minimum order sizes applied to USD interest rate swap semi bond transactions in the Trad-X Platform.

Tradition SEF has posted a copy of CFTC Submission No. 2016-05, a notice of pending certification with the Commission and the Platform Supplement to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of this submission with the Commission.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission’s regulations promulgated thereunder.

For SEF compliance or administrative questions please contact:

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