



Tradition SEF, Inc.

Notice to Participants 16-16

August 25, 2016

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

AMENDMENTS TO TRADITION SEF RULEBOOK CFTC SUBMISSION NO. 2016-06

Authorized Representatives:

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6(a), Tradition SEF, Inc. (“Tradition SEF”) has filed CFTC Submission No. 2016-06 with the Commission to amend the Tradition SEF Rulebook effective as of September 15, 2016.

CFTC Submission No. 2016-06 amends Rules 516, 519, 544 and Chapter 6 (Disciplinary Rules) of the SEF Rulebook. Tradition SEF has posted a copy of Submission No. 2016-05, a notice of pending certification with the Commission, and clean and redline versions of the Tradition SEF Rulebook to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of this submission with the Commission.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission’s regulations promulgated thereunder.

For SEF compliance or administrative questions please contact:

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