



Tradition SEF, Inc.

Participant Notice 16-23 Amendments to Tradition SEF's StreamGlobal Platform Supplement CFTC Submission No. 2016-09

December 21, 2016

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "Act"), and Commodity Futures Trading Commission ("CFTC" or the "Commission") Regulation 40.6, Tradition SEF, Inc. ("Tradition SEF") has filed CFTC Submission No. 2016-09, outlining revisions to the Tradition SEF StreamGlobal Platform Supplement (the "Platform Supplement") with the Commission to amend the Platform Supplement effective as of January 10, 2017.

Tradition SEF has posted a copy of CFTC Submission No. 2016-09, a notice of pending certification with the Commission and clean and redline versions of the Platform Supplement to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of this submission with the Commission.

The StreamGlobal Platform is used by Tradition SEF for trading of swaps in the credit asset class. CFTC Submission No. 2016-09 amends the Platform Supplement to revise and clarify certain order types, controls and transaction functionality within the Platform.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission's regulations promulgated thereunder.

A copy of the revised Platform Supplement is attached for your convenience.

For SEF administrative or compliance questions please contact:

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