



Tradition SEF, Inc.

Participant Notice 17-05 - Tradition SEF Fee Schedule CFTC Submission No. 2017-03

November 27, 2017

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

Tradition SEF, Inc. ("Tradition SEF") has submitted for the Commodity Futures Trading Commission's (the "CFTC" or "Commission") review, amendments to its fee schedule (the "Fee Schedule") to incorporate a new category of fees for Futures Unchanged IRS trades. The changes to the Fee Schedule were made pursuant to Section 5c(c) of the Commodity Exchange Act, as amended, and CFTC Regulation 40.6. The effective date of the fee changes is retroactive to November 1, 2017. A request for confidential treatment of the Fee Schedule has also been submitted to the Commission. **Please note that this Fee Schedule is considered confidential and is intended only for Tradition SEF participants and customers and is not to be disseminated outside of a participant's or customer's organization.**

Tradition SEF has posted a notice of the pending certification with the Commission and a copy of CFTC Submission No. 2017-03 on its website (*see*, <http://www.traditionsef.com/regulatory/>), concurrent with the filing of this submission with the Commission.

For SEF compliance or administrative questions, please contact:

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