



Tradition SEF, Inc.

Participant Notice 17-06
Amendments to the Tradition SEF Rulebook
CFTC Submission No. 2017-04

November 29, 2017

To: Tradition SEF Authorized Representatives
From: Tradition SEF Operations and Compliance

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6, Tradition SEF, Inc. (“Tradition SEF”) has filed CFTC Submission No. 2017-04 amending the Tradition SEF Rulebook (the “Rulebook”) with the Commission effective as of November 15, 2017.

Tradition SEF has posted a copy of CFTC Submission No. 2017-04, a notice of pending certification with the Commission and clean and redline versions of the Rulebook to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of the submission with the Commission.

The Rulebook was amended to ensure compliance with current CFTC No-Action relief.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission’s regulations promulgated thereunder.

For legal matters, please contact:

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