



## Tradition SEF, Inc.

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### Participant Notice 17-07 - Tradition SEF Fee Schedule CFTC Submission No. 2017-03R

December 12, 2017

**To** : Tradition SEF Authorized Representatives  
**From** : Tradition SEF Operations and Compliance

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Tradition SEF, Inc. ("Tradition SEF") has submitted for the Commodity Futures Trading Commission's (the "CFTC" or "Commission") review, amendments to its fee schedule (the "Fee Schedule") to incorporate a new category of fees for Futures Unchanged IRS trades. The changes to the Fee Schedule were made pursuant to Section 5c(c) of the Commodity Exchange Act, as amended, and CFTC Regulation 40.6. The effective date of the fee changes is December 12, 2017. A request for confidential treatment of the Fee Schedule has also been submitted to the Commission. **Please note that this Fee Schedule is considered confidential and is intended only for Tradition SEF participants and customers and is not to be disseminated outside of a participant's or customer's organization.**

Please note that this Tradition SEF Submission No. 2017-03R amends the effective date for the addition of the new category of fees for Futures Unchanged IRS trades from November 1, 2017 to December 12, 2017. The remainder of Tradition SEF Submission No. 2017-03 remains unchanged.

Tradition SEF has posted a notice of the pending certification with the Commission and a copy of CFTC Submission No. 2017-03R on its website (*see*, <http://www.traditionsef.com/regulatory/>), concurrent with the filing of this submission with the Commission.

For SEF compliance or administrative questions, please contact:

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