



Tradition SEF, Inc.

Participant Notice 18-04 Amendments to the Tradition SEF Rulebook and StreamGlobal Platform Supplement CFTC Submission No. 2018-01

March 29, 2018

To: Tradition SEF Authorized Representatives
From: Tradition SEF Operations and Compliance

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Commodity Futures Trading Commission (“CFTC” or the “Commission”) Regulation 40.6, Tradition SEF, Inc. (“Tradition SEF”) has filed CFTC Submission No. 2018-01 amending the Tradition SEF Rulebook (the “Rulebook”) and StreamGlobal Credit Default Swap Trading Platform Supplement (the “Platform Supplement”) with the Commission effective as of April 16, 2018.

Tradition SEF has posted a copy of CFTC Submission No. 2018-01 a, a notice of pending certification with the Commission and clean and redline versions of the Rulebook and Platform Supplement to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of the submissions with the Commission.

The Rulebook and Platform Supplement were amended to provide consistency among Tradition platforms regarding post-trade name give-up for MAT Transactions.

Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission’s regulations promulgated thereunder.

For legal matters, please contact:

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