



Tradition SEF, Inc.

Participant Notice 19-06

Notice of Product Certifications to Tradition SEF's Equity Asset Class CFTC Submission Nos. 2019-05, 2019-06, 2019-07 & 2019-08

December 12, 2019

To: Tradition SEF Authorized Representatives
From: Tradition SEF Legal and Compliance

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "Act"), and Commodity Futures Trading Commission ("CFTC" or the "Commission") Regulation 40.2(a), Tradition SEF, Inc. ("Tradition SEF") has filed CFTC Submission Nos. 2019-05, 2019-06, 2019-07 and 2019-08 certifying the listing of swaps on the following MSCI® and Russell equity indices:

- MSCI® USA (USD)
- MSCI® North America
- Russell 1000
- Russell 3000

The effective date of the listing for all of the products is Monday, December 16, 2019.

Tradition SEF has posted copies of CFTC Submission Nos. 2019-05, 2019-06, 2019-07 and 2019-08, notices of pending certifications with the Commission for each submission and clean and redline versions of the Equity Product Listings to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of the submissions with the Commission.

Tradition SEF, through its submissions to the CFTC, certifies that the products comply with the Act and the Commission's regulations promulgated thereunder.

For legal matters, please contact:

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For SEF compliance or administrative questions please contact:

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