



Tradition SEF, LLC

Participant Notice 23-04

Re: Tradition SEF - CFTC Submission Number 2023-01 - CFTC Regulation 40.2 and 40.6(a) Certification, Request for New Product Certification of Tradition SEF's Interest Rate Swap and Credit Asset Class Product Listings to add: CAD Overnight Repo Rate Average (CORRA) Interest Rate Swaps, and Markit® iBoxx USD Liquid Investment Grade (IG) Index Credit Index swaps and non-substantive changes to IRS Product Listing.

May 19, 2023

To : Tradition SEF Authorized Representatives
From : Tradition SEF Legal, Compliance, and Operations

Authorized Representatives,

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the "Act"), and Commodity Futures Trading Commission ("CFTC" or the "Commission") Regulation 40.2(a) and 40.6(a), Tradition SEF, Inc. ("Tradition SEF") has filed CFTC Submission 2023-01 certifying the listing of swaps on the CAD Overnight Repo Rate Average (CORRA) Index and Markit® iBoxx USD Liquid Investment Grade ("IG") Index. The effective date for these additions and revisions is June 5, 2023.

Tradition SEF has posted a copy of CFTC Submission 2023-01 and clean and redline versions of its Rulebook Appendix A and Appendix B to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of the submissions with the Commission.

Tradition SEF, through its submissions to the CFTC, certifies that the products comply with the Act and the Commission's regulations promulgated thereunder.

Please contact us at the contact information below with any questions.

For SEF compliance or administrative questions please contact:

Eric Earnhardt, CCO: (212) 791-3497 or eric.earnhardt@tradition.com

Jeff Mehan, CAO: (212) 943-4567 or jeff.mehan@tradition.com

For legal matters, please contact:

Bennett Kirschner, General Counsel: (212) 377-2337 or bennett.kirschner@tradition.com