



Tradition SEF, LLC

Participant Notice 25-03

Re: Tradition SBSEF Application Approval by the U.S. Securities and Exchange Commission

January 31, 2025

To : Tradition SEF Authorized Representatives
From : Tradition SEF Legal, Compliance, and Operations

Authorized Representatives,

In accordance with the U.S Securities and Exchange Commission (“SEC”) Regulation SE, Tradition SEF, LLC has been registered as a security-based swap execution facility (SBSEF), operating as Tradition SBSEF. An updated rulebook and end of day data will be available soon.

Please contact us at the contact information below with any questions.

For Tradition SEF compliance questions please contact:

Eric Earnhardt, CCO: (212) 791-3497 or eric.earnhardt@tradition.com

For Tradition SEF administrative questions please contact:

Diana Kapanadze: (212) 238 -5908 or diana.kapanadze@tradition.com

For Tradition SEF legal matters, please contact:

Bennett Kirschner, General Counsel: (212) 377-2337 or bennett.kirschner@tradition.com