



Tradition SEF, LLC

Participant Notice 25-06

Re: Tradition SEF - CFTC Submission Number 2025-02, Modifications to Tradition SEF Rulebook

February 24, 2025

To : Tradition SEF Authorized Representatives
From : Tradition SEF Legal, Compliance, and Operations

Authorized Representatives,

With the purpose of aligning Tradition SEF's CFTC regulated Swap rules and the U.S. Securities and Exchange Commission ("SEC") regulated Security-Based Swap rules under one rulebook, following the SEC's approval of the Rulebook v27 on January 29, 2025, Tradition SEF hereby provides notice that it has submitted Tradition SEF Rulebook v27 to the CFTC highlighting all modifications made for compliance with the SEC SBSEF requirements. The effective date of this CFTC submission is March 10, 2025.

A copy of CFTC Submission No. 2025-02 and clean and redline versions of the Rulebook can be found on the Tradition SEF website at <https://www.traditionsef.com/regulatory/filter/rulebook/all/all>. Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission's regulations promulgated thereunder. Please contact us at the contact information below with any questions.

For Tradition SEF compliance questions please contact:

Eric Earnhardt, CCO: (212) 791-3497 or eric.earnhardt@tradition.com

For Tradition SEF administrative questions please contact:

Diana Kapanadze: (212) 238 -5908 or diana.kapanadze@tradition.com

For Tradition SEF legal matters, please contact:

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