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## Participant Notice 25-15

### Tradition SEF - SEC Submission 2025-03, Modifications to Tradition SEF Rulebook

#### SEC Submission 2025-03

December 8, 2025

**To:** Tradition SEF Authorized Representatives  
**From:** Tradition SEF Legal, Operations and Compliance

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Authorized Representatives,

Tradition SEF, LLC is issuing this notice to inform market Participants that, now that CFTC Submission 2025-04 is effective, Tradition SEF has filed identical Rulebook changes with the Securities and Exchange Commission (SEC).

Tradition SEF has posted a copy of SEC Submission 2025-03, along with clean and redline versions of the Rulebook, Appendix B, and Platform Supplement 2 to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of the submissions with the Commission.

Tradition SEF, through its submission to the SEC, certifies that the rule amendments comply with the Securities Exchange Act and the Commission's regulations promulgated thereunder.

For Tradition SEF legal matters, please contact:  
For Tradition SEF compliance questions, please contact:

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