



Tradition SEF, Inc.

Participant Notice 14-02 – Tradition SEF, Inc.

To: Tradition SEF Authorized Representatives
From: Tradition SEF Operations and Compliance

Notice of Pending Product Removal – Broad Market Equity Index Dividend Swaps

January 3, 2014

The Tradition SEF, Inc. Product Listing has been updated as of January 3, 2014 (to be effective on January 18, 2014) to reflect the removal of Broad Market Equity Index swaps from Tradition SEF's list of Approved Products. Tradition SEF, Inc. has also provided detailed information about its product offerings to the CFTC. These changes have been submitted to the CFTC under Regulation 40.2, and are pending certification with an effective date of January 18, 2014.

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