



Tradition SEF, Inc.

Participant Notice 14-17 – (Trad-X)

September 8, 2014

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

Re: Tradition SEF Trad-X IRS Platform

Addition of CME Continuous Trading instruments to TRAD-X EAT Environment

CME instruments that will be traded in a continuous order book session will be added to TRAD-X EAT Environment on Wednesday 10th September. This is in preparation of the addition of these instruments to the TRAD-X USD production platform.

Participants are encouraged to test the compatibility of their systems against the new instruments. There are no changes to the FIX specification to support the addition of these securities and therefore no mandatory testing is required.

CME instruments can be identified visually by the inclusion of ‘CME’ in the SecurityID tag (48) and SecurityDesc tag (107).

CME instruments can be identified systematically using the following tags in the Security List message (35=y):

Security List

MsgType = y (lowercase ‘Y’)

| Tag | Req | Description |
|-------------------------------|-----|---|
| NoInstrumentParties (1018) | N | Start of repeating group for party data for this instrument |
| InstrumentPartyID (1019) | C | CCP that clears trades for this instrument |
| InstrumentPartyIDSource(1050) | C | ‘D’ - Custom |
| InstrumentPartyRole (1051) | C | 21 – Clearing Organization |

Please contact Trading Operations if you have any further questions; (212) 238-5930 or trading.operations@tradition-na.com.

For administrative or compliance questions please contact :

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