



Tradition SEF, Inc.

Notice to Participants 16-04

January 22, 2016

To : Tradition SEF Authorized Representatives
From : Tradition SEF Operations and Compliance

CFTC GRANTS REGISTRATION TO TRADITION SEF

Dear Authorized Representatives:

Tradition SEF is pleased to announce that today the Commodity Futures Trading Commission granted Tradition SEF registration as a swap execution facility (SEF).

We look forward to continuing to work with you as a registered SEF. Thank you for your support of Tradition SEF.

For SEF compliance and administrative questions please contact:

Eric Earnhardt, CCO: (212) 791 3497 or eric.earnhardt@tradition-na.com
Jeff Mehan, CAO: (212) 943 4567 or jeff.mehan@tradition.com

For legal matters, please contact:

Scot Halvorsen, Sr. Regulatory Counsel: (212) 377 2337 or scot.halvorsen@tradition.com